

## ABLE Rule 606 Disclosure: 4Q 2018

Natixis Securities Americas LLC (ABLE) has prepared this report pursuant to a U.S. Securities and Exchange Commission rule (§240.11Ac1-6 / §242.606) requiring all brokerage firms to make available to the public quarterly reports about their routing practices.

The report provides information on the routing of “non-directed orders” – any order that the customer has not specifically instructed to be routed to a particular venue for execution. For these non-directed orders, ABLE has selected the execution venue on behalf of its customers.

Note that all information relates to customer orders of less than \$200,000. All order flow originating from the account of a broker-dealer other than ABLE has been excluded from this report per the 606 guidelines.

The report is divided into four sections:

1. New York Stock Exchange listed securities
2. Nasdaq Stock Market listed Securities
3. American Stock Exchange and regional exchanges listed securities
4. Exchange listed options

For each section, this report identifies the venues most often selected by ABLE, sets forth the percentage of various types of orders routed to the venues, and discusses the material aspects of ABLE’s relationship with the venues.

This report has been reviewed by TAG, (Transaction Audit Group) a third party market surveillance and auditing firm. Questions regarding this report should be addressed to the ABLE Compliance Department at (212) 891-6100.

ABLE may use independent floor brokers or route orders directly to the floor for execution.

ABLE may participate in payment for order flow with NYSE, NASDAQ and NYSE Amex Securities as well as with exchange listed options.

**Natixis Securities Americas, LLC**  
**SEC-Required Report on Routing of Customer Orders**  
**For the Quarter Ending DEC-2018**

NYSE	Non-Directed Orders	Market Orders	Limit Order	Other Orders	Material Aspects of Relationship
<b>Total Natixis Securities Americas, LLC Orders</b>	<b>100.00%</b>	<b>0.00%</b>	<b>0.00%</b>	<b>100.00%</b>	
<b>Orders Routed To:</b>					
GOLDMAN, SACHS & COMPANY	98.46%	0.00%	0.00%	98.46%	No B-D Supplied Information

NASDAQ	Non-Directed Orders	Market Orders	Limit Order	Other Orders	Material Aspects of Relationship
<b>Total Natixis Securities Americas, LLC Orders</b>	<b>100.00%</b>	<b>0.01%</b>	<b>0.00%</b>	<b>99.99%</b>	
<b>Orders Routed To:</b>					
GOLDMAN, SACHS & COMPANY	98.18%	100.00%	0.00%	98.18%	No B-D Supplied Information

AMEX	Non-Directed Orders	Market Orders	Limit Order	Other Orders	Material Aspects of Relationship
<b>Total Natixis Securities Americas, LLC Orders</b>	<b>100.00%</b>	<b>0.00%</b>	<b>0.00%</b>	<b>100.00%</b>	
<b>Orders Routed To:</b>					
GOLDMAN, SACHS & COMPANY	97.95%	0.00%	0.00%	97.95%	No B-D Supplied Information

OPTIONS	Non-Directed Orders	Market Orders	Limit Order	Other Orders	Material Aspects of Relationship
<b>Total Natixis Securities Americas, LLC Orders</b>	<b>100.00%</b>	<b>0.00%</b>	<b>100.00%</b>	<b>0.00%</b>	
<b>Orders Routed To:</b>					
DASH FINANCIAL LLC	50.00%	0.00%	50.00%	0.00%	No B-D Supplied Information
NATIXIS SECURITIES AMERICAS, LLC	50.00%	0.00%	50.00%	0.00%	No B-D Supplied Information